

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Daniel BREEN, et al.

Group Art Unit: **3692**

Serial No.: **10/820,876**

Examiner: **SUBRAMANIAN, Narayanswamy**

Filed: **April 9, 2004**

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE
SECURITY WITH A CALL SPREAD**

U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Amendment
Randolph Building
401 Dulany Street
Alexandria, VA 22314

RESPONSE TO NON-FINAL OFFICE ACTION

Dear Sir:

In response to the Non-Final Office Action mailed July 25, 2007, the undersigned representative respectfully requests reconsideration of the rejections of record in light of the following remarks:

Amendments to the Claims are reflected in the Listing of Claims that begins on page 2 of this paper.

Remarks begin on page 8 of this paper.